PTO/SB/08A (08-00)

Approved for use through 10/31/2002, OMB 0051-0031*
U.S. Palent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449A/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

of 10

Complete if Known							
Application Number	09/585,817						
Filing Date June 1, 2000							
First Named Inventor	Schenk, Dale B.						
Group Art Unit	1848 1647						
Examiner Name	Unassigned NICHOUS						
Attorney Docket Number	15270J005910						

- 5 5	1	U.S. Patent Doc.		U.S. PATENT DOCUM		
Examiner Cite		Number (if known)		Name of Palentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
S	196	6,150,091	-	Pandolfo et al.	11-21-2000 -	
1	1	6,057,367		Stamler et al.	05-02-2000 -	
	2	5,958,883		Snow	09-28-1999	
	3	5,955,317		Suzuki et al.	09-21-1999	
- T	4	5,955,079		Mond et al.	09-21-1999	
	5	5,877,399		Hsiao et al.	03-02-1999	
	6	5,869,093		Weiner et al.	02-09-1999	
	7	5,869,054		Weiner et al.	02-09-1999	
	8	5,854,204		Findeis et al.	12-29-1998	
	9	5,851,996		Kline	12-22-1998	
	10	5,849,298		Weiner et al.	12-15-1998	
	11	5,837,473		Maggio et al.	11-17-1998	
	12	5,786,180	-	Konig et al.	07-28-1998	
	13	5,753,624		McMichael et al.	05-19-1998	
	14	5,750,349		Suzuki el al.	05-12-1998	
.	197	5,744,368		Goldgaber et al.	04-28-1998	
\neg	15	5,733,547	-	Welner et al.	03-31-1998	
	16	5,688,651		Solomon	11-18-1997	
J.	17	5,679,348	-	Nesburn et al.	10-21-1997	
	18	5,645,820		Hafter et al.	07-08-1997	
290	19	5,641,474		Hafter et al.	06-24-1997	
	20	5,641,473	<u> </u>	Haffer et al.	06-24-1997	
	21	5,042,486		McConlogue et al.	85-18-1997	
	22	5,605,811	-	Soubert et al.	02-25-1997	
	23	5,585,100		Mond et al.	12-17-1996	
	24	5,571,500		Hancel al.	11-05-1998	
N	25	5,571,499		Hafter et al.	11-05-1996	
$\mathbf{A}^{'}$	175	5,441,870		Seubert et al.	98-15-1995	
COS	سهند	5,434,170		Andrulis, Jr.	07-18-1995	

U.S. PATENT DOCUMENTS

Considered considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conforma and not considered. Include copy of this form with next communication to applicant.

Date

Unique citation designation number. ² See attached Kinds of U.S. Patent Documents. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁴ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is a standard to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231

Examiner

of | 10

Please type a plus sign (+) inside this bo

Sheet

2

(00-80) A80/B2/OT9

15270J005910

Approved for use through 19/31/2002, OMB 0651-0031 U.S. Palent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Penerwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number. Substitute for form, 1449A/PTO Complete if Known Application Number 09/585,817 INFORMATION DISCLOSURE Filing Date June 1, 2000 STATEMENT BY APPLICANT First Named Inventor Schenk, Dale B. Group Art Unit 1646 1647 NICHTOUS (use as many sheets as necessary) Unassigned ____ Examiner Name

Altomey Docket Number

U.S. PATENT DOCUMENTS										
690	27	5,387,742	1	Cordell	02-07-1995					
	161	5,270,165		Van Nostrand et al.	12-14-1993					
	28	5,231,000		Majocha et el.	07-27-1993					
	29	5,220,013		Ponte et al.	06-15-1993					
	30	5,208,038		Eppstoin et al.	05-04-1993					
	31	5,192,753	T	McGear et al.	03-09-1993					
	32	5,187,159		Cordell at al.	02-16-93					
	99	5,057,540		Kensil et al.	10-15-1991					
V	198	5,004,697	1	Pérdridge	04-02-1991					
200	34	4,666,629		Glenner et al.	05-19-1987					

				FOREIG	N PATENT DOCU	MENTS		
Examiner Inidals	Cite No. ⁴	C/fice ³	Number*	ument Kind Code ^s (if known)	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ^a
CTW	35	EP	911 036	A2		04-28-1999		
<u> </u>	36	EP	868 918	A2		10-07-1998		
-	37	EP	863 211	A1		09-09-1998		
	38	EP	845 270	A1		06-03-1998		
	39	EP.	782 859	A1		07-09-1997		
	40	EP	683 234	A1		11-22-1995		
_	41	EP	666 080	A1		08-09-1995		
	42	EP	652 962	B1		12-16-1998		
	43	EP	639 081	81		11-03-1999		
	44	EP	613 007	A2		08-31-1994		
	45	EP	594 607	B1		08-27-1997		
	46	EP	581 087	81	~	08-04-1999		
	47	EP	526 511	B1		05-28-1997		
	48	EP	506 785	B1		03-15-2000		
	49	EP	451 700	A1		10-16-1991		
	50	EP	440 619	81		01-24-1996		
	51	EP	359 783	B1		11-29-1995		
	52	EP	276 723	B1		12-08-1993		
	187	EP	783 104	A1		07-09-1997		
abla	199	PCT	00/77178	A1		12-21-2000		
A	188	PCT	00/43049	A1		07-27-2000		
(B)	53	PCT	99/60024	A1		11-25-1999		
Examiner Signatura			U	48	Date Consid		19104	

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 608, Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Unique cilation designation number. 2 See attached Kinds of U.S. Patent Documents. 3 Enter Office that issued the document, by the two-latter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document, a Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST, 16 if possible. Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual pape. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS, SEND TO: Applicant Commissioner for Patents, Washington, DC 20231
PA 3142468 V1

Approved for use through 10/31/2002. OMB 0651-0031** U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute	for form 1449A/PT	0			Complete if Known
				Application Number	09/585,817
INFO	RMATION	DISC	LOSURE	Filing Date	June 1, 2000
STAT	EMENT B	Y API	PLICANT	First Named Inventor	Schenk, Dale B.
				Group Art Unit	1646- 1647
	(use as many sh	eets as ne	ecessary)	Examiner Name	Unassigned NICHOLS
Sheet	3	of	10	Attorney Docket Number	15270J005910

دوي	56	PCT	99/06066	A2		02-11-1999		1
7	57	PCT	99/27949	A1		06-10-1992		
\neg	68	PCT	99/27944	A1		06-10-1999		ţ.
	59	PCT	99/27911	A1		06,10-1999		
	60	PCT	98/44955	A1		10-15-1998		•
	61	PSI	98/07850	A2		02-26-1998		ì
	62	PCT	97/17613	A1		05-15-1997		
_	63	PCT	96/39176	A1	-	12-12-1996] .
	64	PCT	96/25435	A1 .		08-22-1996		11
-	65	PCT	96/18990	A1		06-20-1996		J
$\neg \neg$	66	PCT	95/31996	A1		11-30-1995		1
	200	PCT	95/12815	A1	/	05-11-1995		1
	67	PCT	95/11994	A)X		05-04-1995	 	ł
	68	PCT	95/11311	A1		- 04-27-1995		ł
	69	PCT	95/05853 /	A1 /	-	03-02-1995	 D	ł
-	70	PCT	95/04151	A2		> 02-09-1995	 	
	71	PCT	94/05615	A1		02-17-1994 -	 	┢
	72	PCT	94/01772	A1	7	01-20-1994	 	1
	73	PCT	93/21950	A1	$\overline{}$	11-11-1993		1
	74	PCT	93/16724	A1		09-02-1993	 	ŧ
$\neg \vdash$	75	₽ CT	93/15760	A1		08 19-1993	 	1
	76	PCT	93/14200	A 1		07-22-1993	 	4
	77	PCT	93/02189	A1		02-04-1993	 	
	78	PCT	92/13069	A1		08-06-1992	 ╆╩	4
	79	PCT	92/06708	A1		04-30-1992		1
	80	PCT	92/06187	A1		04-16-1992	 	1
	81	PCT	91/19810	A1		12-26-1991		1
	82	PCT	91/16819	A1		11-14-1991	 - -	1
	83	PCT	91/12816	Al		09-05-1991		1
	84	PCT	91/08760	A1		06-27-1991		1
	85	PCT	90/12871	A1		11-01-1990		1
	86	PCT	90/12870	AI		11-01-1990		1
	87	PCT	89/01343	A1		02-23-1989		1
	88	PCT	89/06242	A1		07-13-1989		4
	89	PCT	89/06689	A1		07-27-1989		1
	90	PCT	89/03687	A1		05-05-1989	 	1
	91	PCT	88/10120	A1	7	12-29-1988	 	4
V	92	GB	2 220 211	Α		01-04-1990	┼╌┾┼╌	<u>:</u>
8	93	GB	2 335 192	A		09-15-1999		1
8						09-15-1999	E	

Examiner Signature Date Considered 1/24/03

EXAMINER: Initial if ofference densidered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Unique citation designation number. ² See attached Kinds of U.S. Patent Documents. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Palent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents. Washington, DC 20231

PTO/SB/08A (08-00)

Approved for use through 10/31/2002. OMB 0651-0031 U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute	e for form 1449A/F	PTO OT		·	Complete if Known
				Application Number	09/585,817
INFO	RMATIO	N DISC	LOSURE	Filing Date	June 1, 2000
STA	TEMENT	BY API	PLICANT	First Named Inventor	Schenk, Dale B.
				Group Art Unit	4040-1648
	(use as many :	sheels as ne	ecessary)	Examiner Name	Unassigned > NOHUZS
Sheet	1. 4	of	10	Attorney Docket Number	15270J005910

		OTHER PRIOR ART NON PATENT LITERATURE DOCUMENTS			
Examiner Initials *	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	Τ,		
رچ	94	94 ANDERSEN et al., "Do nonsteroidal anti-inflammatory drugs decrease the risk for Alzheimer's disease?," Neurology, 45.1441-1445 (1995).			
	95	Associated Press, "Immune cells may promote Alzehimer's, a study tinds," The Boston Globe (4/13/95).	Æ		
	96	BAUER et al., "Interleukin-6 and α-2-macroglobulin indicate an acute-phase state in Alzheirner's disease cortices," FEBS Letters, 285(1):111-114 (1991).	Æ		
	176	BARD et al., "Peripherally administered antibodies against amytoid β-peptide enter the central nervous system and reduce pathology in a mouse model of Alzheimer disease," Nature Medicine, 5(8):916-919 (2000).	Æ		
	97	BLASS, John P., "Immunologic Treatment of Alzheimer's Disease," New England J. Medicine, 341(22):1694 (1999).	t		
	98 .	BODMER et al., "Transforming Growth Factor-Beta Bound to Soluble Derivatives of the Beta Armyloid Precursor Protein of Alzheimer's Disease," <u>Biochem. Biophys. Res. Comm.</u> , 171(2):890-897 (1990).	7		
	99	BORCHELT et al., "Accelerated Amyloid Deposition in the Brains of Transgenic Mice Coexpressing Mutant Presentlin 1 and Amyloid Precursor Proteins," <u>Neuron</u> , 19: 939-945 (1997).	7		
	100	BORIS-LAWRIE et al., "Recent advances in retrovirus vector technology," <u>Cur. Opin. Genet Develop.</u> , 3: 102- 109 (1993).	Æ		
	101	BRICE et al., "Absense of the amyloid precursor protein gene mutation (APP717: Val->1le) in 85 cases of early onset Alzheimer's disease," J. Neurology, Neurosurg, Psychiatry, 56:112-115 (1993).	:E		
	102	CHAO et al., "Transforming Growth Factor-β Protects human Neurons Against β-Amyloid-Induced Injury," <u>Soc. Neurosci. Abstracts</u> , 19:513.7 (1993).	τ		
	103	DUFF et al., "Mouse model made," <u>Nature</u> , 373: 476-477 (1995)	Æ		
	104	ELIZAN et al., "Antineurofilament antibodies in a postencephalitic and idiopathic parkinson's disease," <u>J. Neurol, Sciences,</u> 59:341-347 (1983).	_		
1	105	FELSENSTEIN et al., "Processing of the β-amyloid precursor protein carrying the familial, Dutch-type, and a novel recombinant C-terminal mutation," Neuroscience Letters, 152:185-189 (1993).	Æ		
COS	106	FINCH et al., "Evolutionary Perspectives on Amyloid and Inflammatory Features of Alzheimer Disease," Neurobiology of Aging, 17(5):809-815 (1996).	Æ		

Examiner Signature		Mi	100	Date Considered	11/24/03	
	_		· · · · · · · · · · · · · · · · · · ·			

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Unique citation designation number. ² See attached Kinds of U.S. Patent Documents. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁸ Applicant is to place a check mark here if English language Translation is attached

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer. U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS SEND TO: Assistant Commissioner for Patents, Washington, DC 20231

Approved for use through 10/31/2002, QMB 0651-0031**
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE or respond to a collection of information unless ill contains a valid CMB control number.

	Under the Paperwo	vk Reduc	tion Act of 1995, no persons	are required to respond to a collection of	Information unless it contains a valid CMIB control number.
Substitute	for form 1449A/PTC)			Complete if Known
				Application Number	09/585,817
INFO	RMATION	DIS	CLOSURE	Filing Date	June 1, 2000
STAT	TEMENT B	Y AF	PPLICANT	First Named Inventor	Schenk, Dale B.
• • • • • • • • • • • • • • • • • • • •				Group Art Unit	1646- (047
(use as many sheets as necessary)				Examiner Name	Unassigned NICHOCS
Sheet		of	10	Attorney Docket Number	15270,005910

	107	FISHER et al., "Expression of the amyloid precursor protein gene in mouse oocytes and embryos," PNAS, 88:1779-1782 (1991).	
	108	FLANDERS et al., "Altered expression of transforming growth factor-β in Alzheimer's disease," Neurology, 45:1561-1569 (1995).	0
	109	GAMES et al., "Alzheimer-type neuropathology in transgenic mice overexpressing V717F β-amyloid precursor protein," Nature, 373(6514): 523-527 (1995).	-8
	110	GANDY et al., "Amyloidogenesis in Alzheimer's disease: some possible therapeutic opportunities," <u>TiPS</u> , 13:108-113 (1992).	10
	111	GASKIN et al., "Human antibodies reactive with beta-amyloid protein in Alzheimer's disease," <u>J. Exp. Med.,</u> 177:1181-1186 (1993).	1
	112	GLENN et al., "Skin immunization made possible by cholera toxin," Nature, 391: 851 (1998).	Ð
	113	GLENNER et al., "Alzheimer's Disease: Initial Report of the Purification and Characterization of a Novel Cerebrovascular Arnyloid Protein." <u>Biochemical and Biophysical Research Communications</u> , 120(3): 885-890 (1994).	0
	114	GLENNER et al., "Alzheimer's Disease and Downs Syndrome: Sharing of A Unique Cerebrovascular Amyloid Fibril Protein," <u>Biochemical and Biophysical Research Communications</u> , 122(3): 1131-1135 (1984).	0
	115	GOATE et al., "Segregation of a missense mutation in the amyloid precursor protein gene with familial Alzheimer's disease," Nature, 349:704-706 (1991).	
	116	GOZES et al., "Neuroprotective strategy for Alzheimer disease: Intranasal administration of a fatty neuropeptide," PNAS, 93:427-432 (1996).	U
	190	GRAVINA et al., "Amyloid β Protein (Aβ) in Alzheimer's Disease," <u>J. Biol. Chem.</u> , 270(13):7013-7016 (1995).	0
	117	GUPTA et al., "Differences in the immunogenicity of native and formalized cross reacting material (CRM197) of diptheria toxin in mice and guinea pigs and their implications on the development and control of diphtheria vaccine based on CRMs," Vaccine, 15(12/13): 1341-1343 (1997).	0
	118	IIAGA et al., "Synthetic Alzheimer amyloid β/A4 peptides enhance production of complement C3 component by cultured microglial cells," <u>Brain Research</u> , 601:88-94 (1993).	0
	119	HANES et al., "New advances in microsphere-based single-dose vaccines," <u>Advanced Drug Delivery Reviews,</u> 28: 97-119 (1997).	
	120	FIARDY, "Amyloid, the presentlins and Alzheimer's disease," TINS, 20(4): 154-159 (1997).	B
74	121	HARDY, John, "New Insights into the Genetics of Alzheimer's Disease," Annals of Med., 28:255-258 (1996).	-
	1 2	108 109 110 111 112 113 114 115 116 190 117 118 119 120	FLANDERS et al., "Alzheimer-type neuropathology in transgenic mice overexpressing V717F β-amyloid precursor protein," Nature, 373(6514): 523-527 (1995). 109 GAMES et al., "Alzheimer-type neuropathology in transgenic mice overexpressing V717F β-amyloid precursor protein," Nature, 373(6514): 523-527 (1995). 110 GANDY et al., "Amyloidogenesis in Alzheimer's disease: some possible therapeutic opportunities," TiPS, 13:108-113 (1992). 111 GASKIN et al., "Human antibodies reactive with beta-amyloid protein in Alzheimer's disease," J. Exp. Med., 177:1181-1186 (1993). 112 GLENN et al., "Skin immunization made possible by cholera toxin," Nature, 391: 851 (1998). 113 GLENNER et al., "Alzheimer's Disease: Initial Report of the Purification and Characterization of a Novel Cerebrovascular Amyloid Protein," Biochemical and Biophysical Research Communications, 120(3): 885-896 (1994). 114 GLENNER et al., "Alzheimer's Disease and Downs Syndrome: Sharing of A Unique Cerebrovascular Amyloid Fibral Protein," Biochemical and Biophysical Research Communications, 122(2): 1131-1135 (1984). 115 GOATE et al., "Segregation of a missense mutation in the amyloid precursor protein gene with familial Alzheimer's disease," Nature, 349:704-706 (1991). 116 GOZES et al., "Neuroprotective strategy for Alzheimer disease: Intranasal administration of a fatty neuropeptide," PNAS, 93:427-432 (1996). 119 GRAVINA et al., "Amyloid β Protein (Aβ) in Alzheimer's Disease," J. Biol. Chem., 270(13):7013-7016 (1995). 110 GUPTA et al., "Differences in the immunogenicity of native and formalized cross reacting material (CRM197) of diptheria toxin in mice and guinea pigs and their implications on the development and control of dipthteria vaccine based on CRMs," Vaccine, 15(12/13): 1341-1343 (1997). 118 HAGA et al., "Synthetic Alzheimer amyloid β/A4 peptides enhance production of complement (3 component by cultured microglial cells," Brain Research, 601:88-94 (1993). 119 HARDY, "Amyloid, the presentlins and Alzheimer's disease," TINS, 20(4): 154-159 (

Examiner Signature	CALLUR	Date Considered	11/24/03
Ciginotoro	/~1///49		!\\ <u>~~{\</u> `

dered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance EXAMINER: Initial if refere and not considered. Include copy of this form with next communication to applicant.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231

^{*} Unique citation designation number. * See attached Kinds of U.S. Patent Documents. * Enter Office that issued the document, by the two-latter code (MPO Standard ST.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. Skind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. Applicant is to place a check mark here if English language Translation is attached

Approved for use through 10/31/2002, OMB 0651-0031**
U.S. Pateni and Trademark Office: U.S. DEPARTMENT OF COMMERCE

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Complete If Known Substitute for form 1449A/PTO **Application Number** 09/585,817 INFORMATION DISCLOSURE June 1, 2000 Filing Date Schenk, Dale B STATEMENT BY APPLICANT First Named Inventor 1648→ 1647 Group Art Unit Unaccigned WICHOLS (use as many sheets as necessary) **Examiner Name** 15270J005910 of Attorney Docket Number Sheet

C30	193	HARRINGTON et al., "Characterisation of an epitope specific to the neuron-specific isoform of human enolase recognised by a monoclonal antibody raised against a synthetic peptide corresponding to the "C-terminus of β / A4-protein," <u>Biochimica Biophysica Acta</u> , 1158:120-128 (1993).	Ð						
	177	HELMUTH, L., "Further Progress on a β-Amyloid Vaccine," <u>Science</u> , 289:375 (2000).	0						
	122	HSIAO et al., "Correlative Memory Deficits, Aß Elevation, and Amyloid Plaques in Transgenic Mice," <u>Science</u> , 274: 99-102 (1996).	Ð						
	123	HUBERMAN et al., "Correlation of cytokine secretion by mononuclear cells of Alzheimer's patients and their disease stage," J. Neuroimmunology, 52:147-152 (1994).	4						
	124	HYMAN et al., "Molecular Fpidemiology of Alzheimer's Disease," N. E. J. Medicine, 333(19):1283-1284 (1995).	•						
	125	ITAGAKI et al., "Relationship of microglia and astrocytes to amyloid deposits of Alzheimer's disease," <u>J. Neuroimmunology</u> , 24:173-182 (1989).							
	192	IWATSUBO et al., "Visualization of Aβ42(43) and Aβ40 in Senile Plaques with End-Specific Aβ Monoclonals: Evidence That an Initially Deposited Species Is Aβ42(43)," Neuron, 13:45-53 (1994).	6						
	126	JANSEN et al., "Immunotoxins: Hybrid Molecules Combining High Specificity and Potent Cytotoxicity." <u>Immun. Rev.</u> , 62: 185-216 (1982).	0						
	127	KALARIA, R. N., "Serum amyloid P and related molecules associated with the acute-phase response in Alzheimer's disease," Res. Immunology, 143:637-641 (1992).							
	183	KATZAV-GOZANSKY et al., "Effect of monoclonal antibodies in preventing carboxypeptidase A aggregation," Bjotechnol. Appl. Biochem., 23:227-230 (1996).							
	128	KAWABATA et al., "Amyloid plaques, neurolibrillary tangles and neuronal loss in brains of transgenic mice overexpressing a C-terminal fragment of human amyloid precursor protein," Nature, 354:476-478 (1991).	4						
	195	KONIG et al., "Development and Characterization of a Monoclonal Antibody 369.2B Specific for the Carboxyl- Terminus of the βA4 Peptide," <u>Annals of NY Acad. Sci.</u> , 777:344-355 (1996).	0						
	129	LAMPERT-ETCHELLS et al., "Regional Localization of Cells Containing Complement Clq and C4 mRNAs in the Frontal Cortex During Alzheimer's Disease," <u>Neurodegeneration</u> , 2:111-121 (1993).	-						
	130	LANGER, "New Methods of Drug Delivery," Science, 249: 1527-1532 (1990).	-						
	131	LANNFELT et al., "Alzheimer's disease: molecular genetics and transgenic animal models," Behavioural Brain Res., 57:207-213 (1993).	0						
	132 LEMERE et al., "Mucosal Administration of AB Peptide Decreases Cerebral Amyloid Burden in Pd-App Transgenic Mice," Suciety for Neuroscience Abstracts, vol. 25, part I, Abstract 519.6, 29th Annual Meeting. October 23-28, 1999.								
CN	133	LIVINGSTON et al., "The Hepatitis B Virus-Specific CTI. Responses Induced in Humans by Lipopeptide Vaccination Are Comparable to Those Elicited by Acute Viral Infection," J. Immunol., 159: 1383-1392 (1997).	0						
Examiner Signature		Muller Date Considered 11/24/03							

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered, Include copy of this form with next communication to applicant.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sont to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231

¹ Unique citation designation number. ² See attached Kinds of U.S. Patent Documents. ³ Enter Office that issued the document, by the two-letter code: (WPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

Approved for use through 10/31/2002. OMB 0651-0031 U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number. Complete if Known Substitute for form 1449A/PTO Application Number 09/585,817 INFORMATION DISCLOSURE June 1, 2000 Filing Date Schenk, Dale B STATEMENT BY APPLICANT First Named Inventor 1048 164 Group Art Unit (use as many sheets as necessary) Unassigned Examiner Name 15270J005910 Attorney Docket Number of

	1 1	·	لحب				
(B)	134	LOPEZ et al., "Serum auto-antibodies in Alzheimer's disease," Acta. Neurol. Seand., 84:441-444 (1991).					
1	135	MCGEE et al., "The encapsulation of a model protein in poly (D. L lactide-co-glycolide) microparticles of various sizes: an evaluation of process reproducibility," <u>J. Micro. Encap.</u> , 14(2): 197-210 (1997).	-8-				
	136	MEDA et al., "Activation of microglial cells by β-amyloid protein and interferon-y," <u>Nature</u> , 374:647-650 (1995).	0				
	137	MILLER et al., "Antigen-driven Bystander Suppression after Oral Administration of Antigens," <u>J. Exp. Med.,</u> 174:791-798 (1991).	4				
	191	MURPHY et al., "Development of a Monoclonal Antibody Specific for the COOH-Terminal of β-Amyloid 1-42 and Its Immunohistochemical Reactivity in Alzheimer's Disease and Related Disorders," <u>Am. J. Pathology</u> , 144(5):1082-1088 (1994).	D				
	138	NATHANSON et al., "Bovine Spongiform Encephalopathy (BSE): Causes and Consequences of a Common Source Epidemic," <u>Am. J. Epidemiol.</u> , 145(11): 959-969 (June 1, 1997).	0				
	139	New York Times National, "Anti-Inflammatory Drugs May Impede Alzheimer's," (2/20/94).	Ð				
	The same	PARECSE et al., "Microglial cells influence aggregates of the Alzheimer's disease amyloid beta-protein via scavenger receptor," Neuron, 17:553-565 (September 1996).	-8-				
	141	PAUL et al., "Transdermal immunization with large proteins by means of ultradeformable drug partiers", <u>Eur. J.</u> <u>Immunol.</u> , 25: 3521-3524 (1995).	10				
	142	PRIEELS et al. "Synergistic adjuvants for vaccines," Chemical Abstracts, 120(8) vg. 652, column 1, abstract 86406t (1994).					
	143	QUON et al., "Formation of B-Amyloid protein deposits in brains of fransgenic mice," Nature, 352:239-241 (1991).	0				
	144	RASO, V.A., Grant application #1 R43 A61 5746-01 (publication date unknown).					
	145	RASO, "Immunotherapy of Alzheimer's Disease," Immunotherapy Weekly, Abstract (April 12, 1998).	-8				
	146	ROGERS et al., "Complement activation by β-arryloid in Alzheimer Disease," PNAS, 89:1-5 (1992).					
	147	ROSSOR et al., "Afzheimer's Disease Families with Amyloid Precursor Protein Mutations," Annals of No. York Academy of Sciences, 695:198-202 (1993).					
4	189	SANOO et al., "Spatial Resolution of Fodrin Proteolysis in Postischemic Brain," J. Biol. Chem., 268(33):25239-25243 (1993).					
~M	194	SAIDO et al., "Spatial Resolution of the Primary β-Amyloidogenic Process Induced in Postischemic Hippocampus," J. Biol, Chem., 269(21):15253-15257 (1994).	Ja				

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

1 Unique citation designation number. See attached Kinds of U.S. Patent Documents, 2 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the senal number of the patent document. Skind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231

Approved for use through 10/31/2002. OMB 0651-0031 U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute fo	for form 1449A/P	то		Complete if Known					
				Application Number					
INFOF	MATIO	V DISC	LOSURE	Filing Date	June 1, 2000 Schenk, Dale B.				
STAT	EMENT I	BY APP	PLICANT	First Named Inventor					
JIAI		,		Group Art Unit	-1640 -	164			
(4	use as many s	heets as ne	çessary)	Examiner Name	Unassig	ried	NICHOL	5	
Sheet	<u> </u>	of	/0	Attorney Docket Number	15270J0	105910			

43	2	178	SCHENK et al., "Therapeutic Approaches Related to Amyloid-β Peptide and Alzheimer's Disease," <u>J.</u> . <u>Med. Chem.</u> , 38(21):4141-4154 (1995).	0	
	148		SCHENK et al., "Immunization with amyloid- β attenuates Alzheirner-disease-like pathology in the PDAPP mouse," Nature, 400:173-177 (1999).		29
		149	SELKOE, D.J., "Imaging Alzheimer's Amyloid," Nat. Biotech., 18:823-824 (2000).	Ð	+
		160	SELKOE, "Alzheimer's Disease: A Central Role for Amyloid," J. Neuropathol. Exp. Neurol., 53(5): 438-447 (1994).	1	9
		151	SELKOE, "Physiological production of the β-amyloid protein and the mechanism of Alzheimer's disease," <u>Trends in Neurosciences</u> , 16(10): 403-409 (1993).	0	#
		152	SELKOE, Dennis J. "Amyloid Protein and Alzheimer's Disease," <u>Scientific American</u> , pgs. 68-78 (Nuvember 1991).	4	5
		153	SELKOE, Dennis J., "In the Beginning," Nature, 354:432-433 (1991).		2/2
		154	SELKOE, Dennis J., "The Molecular pathology of Alzheimer's Disease," Neuron, 6:487-498 (1991).	1	a
		155	SELKOE, Dennis J., "Alzheimer's Disease: Genotypes, Phenotype, and Treatments," <u>Science</u> , 275:630-631 (1997).	0	15
		156	SEUBERT et al., "Isolation and quantification of soluble Alzheimer's β-peptide from biological fluids," Nature, 359: 325-327 (1992).	Ð	Q
		157	SHIOSAKA, S., "Attempts to make models for Alzheimer's disease," Neuroscience Res., 13:237-255 (1992).	Ð	18
		158	SMITS et al., "Prion Protein and Scrapie Susceptibility," Vet. Quart., 19(3): 101-105 (1997).	4	
		159	SOLOMON et al., "Disaggregation of Alzheimer β-amyloid by site-directed mAb," <u>PNAS</u> , 94:4109-4112 (1997).	4	
		160	SOLOM()N et al., "Monoclonal antibodies inhibit in vitro fibrillar aggregation of the Alzheimer β-amyluid peptide," PNAS, 93:452-455 (1996).	P	13
		161	SOLOMON, A., Pro-RX (Protein Therapeutics). University of Tennessee Modicel Center (publication data onknown).		
D	/	182	SOCOMON, B., "New Appeaces Fourards Fast Industrion of Amt B-Amyloid Peptide Immune Response," Department of Molecular Microbiology & Biotochnology Tel-Aviv University, Remat Asiv, Tel Aviv, Icrosh	10	$\tilde{\chi}$
CP	<u>ک</u>	182	SOLOMON et al., "Inhibitory effect of monoclonal antibodies on Alzheimer's β-amyloid peptide aggregation," Int. J. Exp. Clin. Invest., 3:130-133 (1996).	4	<u>ු</u> ද

Examiner Signature	Mie	Date Considered	11/24/03

EXAMINER: Initial if reference donsidered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Unique citation designation number. ² See attached Kinds of U.S. Patent Documents. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer. U.S. Patent and Trademark Office, Washington, DC 20231, DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Traderrark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number

00/505 017
09/585,817
June 1, 2000
Schenk, Dale B.
1640- 1647
Unassigned NICHOLS
i 15270J005910

		116	
ريعي	184	SOLOMON et al., "Thermal Stabilization of Carboxypeptidase A as a Function of PH and Ionic Milieu," Biochem, Mol. Biol. Int., 43(3):601-611 (1997).	
	185	SOLOMON et al., "Modulation of The Catalytic Pathway of Carboxypeptidase A by Conjugation with Polyvinyl Alcohols," <u>Adv. Mol. Cell Biology</u> , 15A:33-45 (1996).	4
	186	SOLOMON et al., Activity of monocloral antibedies in prevention of in vitro aggregation of their- antigens, abstract from Department of Molecular Microbiology and Biotechnology. Tel Aviv University, Tel Aviv, Israel (publication date unknown).	0
	179	SOUTHWICK et al., "Assessment of Amyloid β protein in Cerebrospinal fluid as an Aid in the Diagnosis of Alzheimer's Disease," J. Neurochemistry, 66:259-265 (1996).	-0-
	163	STOUTE et al., "A Preliminary Evaluation of a Recombinant Circumsporozoite Protein Vaccine Against Plasmodium Falciparum Malaria", N. Engl. J. Med., 336(2): 86-91 (1997).	1
	164	STURCHLER-PIERRAT et al., "Two amyloid precursor protein transgenic mouse models with Alzheimer disease-like pathology," <u>PNAS</u> , 94: 13287-13292 (1997).	0
	165	TANAKA et al., "NC-1900, an active fragment analog of arginine vasopressin, improves learning and memory deficits induced by beta-amyloid protein in rats," <u>European J. Pharmacology</u> , 352:135-142 (1998).	0
	166	TRIEB et al., "Is Alzheimer beta amyloid precursor protein (APP) an autoantigen? Peptides corresponding to parts of the APP sequence stimulate T lymphocytes in normals, but not in patients with Alzheimer's disease," Immunobiology, 191(2-3):114-115 Abstract C.37, (1994).	0
	167	VAN GOOL et al., "Concentrations of amyloid-Pprotein in cerebrospinal fluid increase with age in patients free from neurodegenerative disease," Neuroscience Letters, 172:122-124 (1994).	1
	168	VERBEEK et al., "Accumulation of Intercellular Adhesion Molecule-1 in Senile Plaques in Brain Tissue of patients with Alzheimer's Disease," Amer. Journ. Pathology, 144(1):104-116 (1994).	10
	169	WALKER et al., "Labeling of Cerebral Amyloid <i>In Vivo</i> with a Monoclonal Antibody," <u>J. Neuropath, Exp.</u> Neurology, 53(4):377-383 (1994).	
	180	WEN, G.Y., "Alzheimer's Disease and Risk Factors," J. Food Drug Analysis, 6(2):465-476 (1998).	4
	170	WENGENACK et al., "Targeting Alzheimer amyloid plaques in vivo," Nature Biotech., 18:868-824 (2000).	4
	171	WEINER et al., "ORAL TOLERANCE: Immunologic Mechanisms and Treatment of Animal and Human Organ-Specific Autoimmune Diseases by Oral Administration of Autoantigens," <u>Annu. Rev. Immunol.</u> , 12:809-837 (1994).	0
$\mathbb{A}^{'}$	172	WEISSMANN et al., "Bovine spongiform encephalopathy and early onset variant Creutzfeldt-Jakob disease", <u>Curr. Opin. Neurobiol.</u> , 7: 695-700 (1997).	À
Con	173	WOOD et al., "Anyloid precursor protein processing and Aβ42 deposition in a transgenic mouse model of Alzheimer disease," PNAS, 94: 1550-1555 (1997).	4

Examiner Signature	$\widehat{\mathcal{M}}$		ک ر)	Oate Considered	1	24	4	03	
	 	0						·		

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Unique citation designation number. ² See attached Kinds of U.S. Palent Documents. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST. 3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office. Washington, DC 20231, DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Palents, Washington, DC 20231

Please type a plus sign (+) inside this box		۱
Please type a plus sign (+) inside this box	T	l

PTO/SB/08A (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute	for form 1449A/PTO)		Complete if Known					
				Application Number	09/585,817				
INFO	RMATION	DISC	LOSURE	Filing Date	June 1, 2000				
STAT	EMENT B	Y AP	PLICANT	First Named Inventor	Schenk, Dale B.				
				Group Art Unit	1046" 1647				
((use as many she	els as n	ecessary)	Examiner Name	Unassigned 1010Hols				
Sheet	10	of	/0	Attorney Docket Number	15270J005910				

174	**Homan Immuniology & Cancer Program brochure, from The University of Tenessee Medical Center/ Conducted School of Medicale, Knowitte, Tennessee (publication date unknown).	0
PA 3142468 v1		

COWSIDERED; DO NOT PRUT # 174.

Examiner Signature Date Considered 11/24(03

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Unique citation designation number. ² See attached Kinds of U.S. Patent Documents. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3), ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer. U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS, SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.